

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.	: 10/030,224	Confirmation No.:	9213
Applicant(s)	: RENNER, Hermann et al.		
Filed	: 04/23/2003		
TC/A.U.	: 1764		
Examiner	: To Be Assigned		
Title	: Method for Regenerating Platinum Group Metal Suspension Catalysts		
Docket No.	: 32301W250		
Customer No.	: 25461		

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**SECOND REQUEST FOR CORRECTED FILING RECEIPT  
AND NOTICE OF ACCEPTANCE**

The Filing Receipt dated April 13, 2004, contains an error in the "Applicant(s)" section. The "Applicant(s)" section should read as follows, with the added text being underlined:

Hermann Renner, Stockach, GERMANY;  
Hans Herzog, Badenweiler-Lipburg, GERMANY;

In support of this Request, attached are copies of the Declaration/Power of Attorney and Letter to Examiner, filed by the Applicants on April 23, 2002. Also attached is a copy of the Filing Receipt with the correction noted thereon.

The Notice of Acceptance dated April 13, 2004, contains typographical errors in the "Date of Receipt of 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) Requirements" and "Date of Completion of All 35 U.S.C. 371 Requirements" sections. **Both dates should be April 23, 2002, instead of April 23, 2003.** Attached is a copy of the Notice of Acceptance with the corrections noted thereon.

Also attached are copies of our Request for Corrected Filing Receipt and Notice of Acceptance filed via facsimile transmission on April 27, 2004, together with the PTO's Auto-Reply Facsimile Transmission, acknowledging receipt of our Request.

No fee is deemed necessary.

Respectfully submitted,



Robert G. Weilacher, Reg. No. 20,531

Dated: July 12, 2006  
SMITH, GAMBRELL & RUSSELL, LLP  
Promenade II, Suite 3100  
1230 Peachtree Street, N.E.  
Atlanta, Georgia 30309-3592  
Ph: (404) 815-3593  
Fax: (404) 685-6893

## Auto-Reply Facsimile Transmission



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Fax Information

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Received  
Cover  
Page

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04/27/04 18:13 FAX 404 815 3509		001/010	
<b>SMITH, GAMBRELL &amp; RUSSELL, LLP</b>			
ATTORNEYS AT LAW			
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ATLANTA, GEORGIA 30309-3509			
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WEBSITE: www.sgr-law.com			
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<b>TELECOPY COVER SHEET</b>			
DATE: April 27, 2004			
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Office of Initial Patent Examination	U.S. PTO	(703) 746-8195	
Attn: Filing Receipt Corrections			
From:	Teletype:	Phone:	Client/Matter:
Robert G. Wellacher, Esq. (303)	(404) 695-6283	(404) 815-3509	832391.350
			11
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PLEASE CALL (404) 815-3509 IF YOU HAVE ANY PROBLEMS			
MESSAGE:			
Please see attached Request for Corrected Filing Receipt and Notice of Acceptance.			
<b>CONFIDENTIALITY NOTE:</b>			
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PAGE 1/10 BCD AT 4222004 4:11:24 PM [Eastern Daylight Time] SER:USPTO-EPF-27-010 DMS:7474195 CSD:004 05 3509 DIRECTION:jm-cj-03-02			

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.	10/030,224	Confirmation No.:	9213
Applicant(s):	RENNER, Hermann et al.		
Filed:	04/23/2003		
TC/A.U.	1764		
Examiner:	To Be Assigned		
Title:	Method for Regenerating Platinum Group Metal Suspension Catalysts		
Docket No.:	32301W250		
Customer No.:	25461		

**REQUEST FOR CORRECTED FILING RECEIPT**  
**AND NOTICE OF ACCEPTANCE**

**Via Facsimile (703/746-9195)**

Commissioner for Patents  
Office of Initial Patent Examination  
Filing Receipt Corrections  
Customer Service Center  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The Filing Receipt dated April 13, 2004, contains an error in the "Applicant(s)" section. The "Applicant(s)" section should read as follows, with the added text being underlined:

Hermann Renner, Stockach, GERMANY;  
Hans Herzog, Badenweiler-Lipburg, GERMANY;

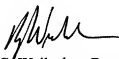
In support of this Request, attached are copies of the Declaration/Power of Attorney and Letter to Examiner, filed by the Applicants on April 23, 2002. Also attached is a copy of the Filing Receipt with the correction noted thereon.

The Notice of Acceptance dated April 13, 2004, contains typographical errors in the "Date of Receipt of 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) Requirements" and "Date of Completion of All 35 U.S.C. 371 Requirements" sections. Both dates should be April 23, 2002, instead of April 23, 2003. Attached is a copy of the Notice of Acceptance with the corrections noted thereon.

PATENTS

No fee is deemed necessary.

Respectfully submitted,



Robert G. Weilacher, Reg. No. 20,531

Dated: April 27, 2004  
SMITH, GAMBRELL & RUSSELL, LLP  
Promenade II, Suite 3100  
1230 Peachtree Street, N.E.  
Atlanta, Georgia 30309-3592  
Ph: (404) 815-3593  
Fax: (404) 685-6893

I, the undersigned, being the inventor or a duly authorized agent of the inventor, do hereby declare that:  
 I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

or changed after it is signed.  
 (For use only for inventors who understand the English language.)

4

# CESS FOR THE REGENERATION OF PLATINUM UP METAL SUSPENSION CATALYSTS

- (i) ☒ is attached hereto (informational purposes only).  
☒ was filed as U.S. Application No. \_\_\_\_\_ on \_\_\_\_\_ and (if applicable) was amended on \_\_\_\_\_.  
☒ was filed as PCT International Application No. PCT/EP00/06301 on July 5, 2000 and (if applicable) was amended under PCT Article 36 on even date.

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign and PCT application(s) for patent or inventor's estate, or §365(a) of any PCT international application which designated at least one country other than the United States of America listed in this Declaration. I have identified below any foreign application for patent or inventor's certificate or PCT international application having a filing date before that of the invention(s) on which priority is claimed:

Foreign Application No.	Country	Filing Date	Priority Claimed? (yes/no)
199 40 127.6	Germany	August 24, 1999	Yes
199 40 459.3	Germany	August 25, 1999	Yes

I claim the benefit under Title 35, United States Code, §120 or §365(c) of any United States application and PCT international application designating the United States of America listed in this Declaration and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the application and the national or PCT international filing date of this application:

Application No.	Filing Date	Status (patented/pending/abandoned?)

I claim priority benefits under Title 35 United States Code §119(e) of any U.S. provisional application(s) listed below:

Provisional Application No.	Filing Date

I appoint the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Robert Scher (20,531), Herbert M. Hanagan (25,682), Dale Lischer (28,438), Frederick F. Calvetti (28,557), J. Rodgers Lunsford, III (29,405), Michael A. (32,253), Dennis C. Rodgers (32,936), Mary A. Montebello (33,021), Eric J. Hanson (44,738), Patrick R. Delaney (45,338) Brandon S. Boss (46,567), et al. Nelson (48,119).

correspondence to: SMITH, GAMBRELL & RUSSELL, LLP, 1850 M Street, N.W. (Suite 800), Washington, D.C. 20036. All facsimiles may be sent to 313-4329. Direct all phone calls to (202) 659-2811.

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the invention or any patent issued thereon.

name of sole or first inventor: Hermann Renner  
 name (city, state, country): DE-78333 Stockach, Germany  
 office address: Zozneggerstrasse 5  
 DE-78333 Stockach, Germany

Citizenship: German

signature: Hermann Renner

Date: January 25, 2002

name of second joint inventor, if any: Hans Herzog  
 name (city, state, country): DE-79410 Badenweiler-Lipburg, Germany  
 office address: Am Farnbuck 2  
 DE-79410 Badenweiler-Lipburg, Germany

Citizenship: German

I, the named inventor, I hereby declare that:  
 I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

or changed after it is signed.  
 (For use only for inventors who understand the English language.)

# **PROCESS FOR THE REGENERATION OF PLATINUM UP METAL SUSPENSION CATALYSTS**

(one) ☐ is attached hereto (informational purposes only).  
☐ was filed as U.S. Application No. \_\_\_\_\_ on \_\_\_\_\_ and (if applicable) was amended on \_\_\_\_\_.  
☒ was filed as PCT International Application No. PCT/EP00/06301 on July 5, 2000 and (if applicable) was amended under PCT Article 36 on even date.

I reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign and PCT application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America listed in this Declaration, also identified below any foreign application for patent or inventor's certificate or PCT international application having a filing date before that of the application(s) on which priority is claimed:

Foreign PCT Application No.	Country	Filing Date	Priority Claimed? (yes/no)
3 199 40 127.6	Germany	August 24, 1999	Yes
3 199 40 459.3	Germany	August 25, 1999	Yes

I claim the benefit under Title 35, United States Code, §120 or §365(c) of any United States application and PCT international application designating the United States of America listed in this Declaration and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the application or the national or PCT international filing date of this application:

Application No.	Filing Date	Status (patented/pending/abandoned?)

I claim priority benefits under Title 35 United States Code §119(e) of any U.S. provisional application(s) listed below:

Provisional Application No.	Filing Date

I appoint the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Robert Ilascher (20,531), Herbert M. Hanegan (25,682), Dale Lischer (28,438), Frederick F. Calvetti (28,557), J. Rodgers Lunsford, III (29,405), Michael A. Roth (32,263), Dennis C. Rodgers (32,936), Mary A. Montebello (33,021), Eric J. Hanson (44,738), Patrick R. Delaney (45,338) Brandon S. Boss (46,567), Brett L. Nelson (48,119).

all correspondence to: SMITH, GAMBRELL & RUSSELL, LLP, 1850 M Street, N.W. (Suite 800), Washington, D.C. 20036. All facsimiles may be sent to 202-639-4329. Direct all phone calls to (202) 659-2811.

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of sole or first inventor: Hermann Renner  
 Residence (city, state, country): DE-78333 Stockach, Germany  
 Office address: Zozneggerstrasse 5  
 DE-78333 Stockach, Germany

Citizenship: German

Signature: H. Renner

Date: 1.24.2002

Name of second joint inventor, if any: Hans Herzog  
 Residence (city, state, country): DE-79410 Badenweiler-Lipburg, Germany  
 Office address: Am Farnbuck 2  
 DE-79410 Badenweiler-Lipburg, Germany

Citizenship: German

32301W250

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Hermann Renner, et al.

U.S. Serial No.: 10/030,224

Group Art Unit: To Be Assigned

Filed: : January 8, 2002

Examiner: To Be Assigned

For: **PROCESS FOR THE REGENERATION OF PLATINUM GROUP  
METAL SUSPENSION CATALYSTS**

LETTER TO EXAMINER

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notification of Missing Requirements received for this case,  
Applicants are filing herewith an executed Declaration. The second Applicant, Hans Herzog,  
signed the Declaration on page 2. However, since the signature line was inadvertently omitted,  
Mr. Herzog executed the Declaration on the signature line above his name.

Respectfully submitted,

SMITH, GAMBRELL & RUSSELL, LLP

By: 

Robert G. Weilacher, Reg. No. 20,531  
1850 M Street, N.W., Suite 800  
Washington, D.C. 20036  
Telephone: (202) 659-2811  
Fax: (202) 263-4329

April 25, 2002





## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/030,224	04/23/2003	1764	1020	32301 W250		13	1

Smith Gambrell & Russell  
 Suite 800  
 1850 M Street N W  
 Washington, DC 20036

CONFIRMATION NO. 9213

## FILING RECEIPT



\*OC00000012296329\*

Date Mailed: 04/13/2004

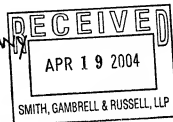
Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Hermann Renner, Stockach, GERMANY;  
 Hans Herzog, Badenweiler-Lipburg, GERMANY

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP00/06301 07/05/2000



## Foreign Applications

GERMANY 199 40 127.6 08/24/1999  
 GERMANY 199 40 459.3 08/25/1999

Projected Publication Date: None, application is not eligible for pre-grant publication

Non-Publication Request: No

Early Publication Request: No

## Title

Method for regenerating platinum group metal suspension catalysts

Preliminary Class

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**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/030,224	FIRST NAMED APPLICANT Hermann Renner	ATTY. DOCKET NO. 32301 W250
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INTERNATIONAL APPLICATION NO.

PCT/EP00/06301

LA. FILING DATE 07/05/2000	PRIORITY DATE 08/24/1999
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Smith Gambrell & Russell  
Suite 800  
1850 M Street N W  
Washington, DC 20036

*Handwritten:*  
T  
4/19/04  
RGW/ATC

CONFIRMATION NO. 9213

371 ACCEPTANCE LETTER



\*OC000000012296330\*

Date Mailed: 04/13/2004

## NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

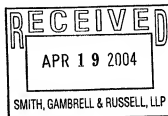
The United States Application Number assigned to the application is shown above and the relevant dates are:

<del>04/23/2003</del> <i>2002</i>	<del>04/23/2003</del> <i>2002</i>
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS	DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 01/08/2002
- Copy of the International Search Report filed on 01/08/2002
- Copy of IPE Report filed on 01/08/2002
- Preliminary Amendments filed on 01/08/2002
- Information Disclosure Statements filed on 01/08/2002
- Oath or Declaration filed on 04/23/2003
- Request for Immediate Examination filed on 01/08/2002
- Copy of references cited in ISR filed on 01/08/2002
- U.S. Basic National Fees filed on 01/08/2002



---

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

---

CHARITTA A BURT

Telephone: (703) 305-3734

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)